DATE E-FILED: May 11, 2007

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Yee Min LIM et al.

Appl. No. 10/582,727

Filed: October 10, 2006

For: **CONVEYOR SYSTEM** 

Art Unit: 3651

Confirmation No.: 4968

Examiner: Not Yet Assigned

Atty. Docket: 03989.0011.PCUS00

## **Information Disclosure Statement**

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith. It is further understood that the Examiner will consider information that had been cited by or submitted to the U.S. Patent and Trademark Office in a prior application relied on under 35 U.S.C. § 120. 1138 OG 37, 38 (May 19, 1992).

Applicants have checked the appropriate boxes below.

- 1. This Information Disclosure Statement is being filed;
  - □ a. Within three months of the U.S. filing date of a national application other than a continued prosecution application under §1.53(d);
  - □ b. Within three months of the date of entry of the national stage as set forth in §1.491 in an international application;
  - c. Before the mailing date of a first Office Action on the merits;
  - □ d. Before the mailing of a first Office Action after filing of a request for continued examination under § 1.115.

No statement under 37 C.F.R. § 1.97(e) or fee is required.

or;

- □ 2. This Information Disclosure Statement is being filed after the period specified in paragraph 1(a)-1(d) above, but before the mailing date of a Final Rejection or Notice of Allowance, or action that otherwise closes prosecution in the application, and
  - □ a. I hereby state that each item of information contained in this Information

    Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1), or

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- □ c. The U.S. Patent and Trademark Office is hereby authorized to charge our Deposit Account No. 08-3038 the amount of \$\_\_\_\_\_ in payment of the fee under 37 C.F.R. § 1.17(p), referencing docket number
- □ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but on or before payment of the Issue Fee. Attached is our Check No. \_\_\_\_\_\_ in the amount of \$ \_\_\_\_\_\_ in payment of the fee under 37 C.F.R. § 1.17(i), and
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    Statement. 37 C.F.R. § 1.97(e)(1), or
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    individual designated in 37 C.F.R. § 1.56(c) more than three
    months prior to the filing of this Information Disclosure Statement.

    37 C.F.R. § 1.97(e)(2).

- 4 - Attorney Docket No. 03989.0011.PCUS00 Application No. 10/582,727

Relevance of the non-English language document(s) is discussed in the present specification.					
□ 5. The document(s) was/were cited in a corresponding foreign application. Ar					
English language version of the foreign search report is attached for the					
Examiner's information.					
☐ 6. A concise explanation of the relevance of the non-English language document(s)					
appears below:					
☐ 7. The Examiner's attention is directed to co-pending U.S. Patent Application No.					
, filed, which is directed to related technical subject					
matter. The identification of this U.S. Patent Application is not to be					
construed as a waiver of secrecy as to that application now or upon					
issuance of the present application as a patent. The Examiner is					
respectfully requested to consider the cited application and the art cited					
therein during examination.					
□ 8. Copies of the documents marked "*" were cited by or submitted to the Office in					
Application No, filed, which is relied upor					
for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these					
documents are not attached. 37 C.F.R. § 1.98(d).					
It is respectfully requested that the Examiner initial and return a copy of the					
enclosed PTO-1449, and to indicate in the official file wrapper of this patent application					
that the documents have been considered.					
The U.S. Patent and Trademark Office is hereby authorized to charge any fee					
deficiency, or credit any overpayment, to our Deposit Account No. 08-3038 referencing					
docket number 03989.0011.PCUS00.					
Respectfully submitted,					
Date: May 11, 2007  Viola T. Kung, Ph.D. (Reg. No. 41,131)					
Viola T. Kung, Ph.D. (Reg. No. 41,131) <b>HOWREY LLP</b>					
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Falls Church, VA 22042 Ph. (650) 798-3570					

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number 10/582,727

Filing Date October 10, 2006

First Named Inventor Yee Min LIM

Art Unit 3651

Examiner Name Not Yet Assigned

Attorney Docket Number

1

Sheet

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		us- <b>4050323 A</b>	09-27-1977	I'ANSON	1 11 11 11
		us- 4909381 A	03-20-1990	STOHR	
		∪s- <b>5141379</b> A	08-25-1992	WARGA	
		us- 6131724 A	10-17-2000	HIRASAWATU	
		US-			

FOREIGN PATENT DOCUMENTS					
Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵
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		Cite Foreign Patent Document	Cite Foreign Patent Document Publication Date MM-DD-YYYY	Cite Foreign Patent Document Publication Date Name of Patentee or No.1 Publication Date Applicant of Cited Document	Cite Foreign Patent Document Publication Date Name of Patentee or No.1 Pages, Columns, Lines, MM-DD-YYYY Applicant of Cited Document Where Relevant Passages

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Examiner	Date		
Signature	Cons	idered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449B/PTO		Complete if Known
INFORMATION DISCLOSURI	Application Number	10/582,727
STATEMENT BY APPLICANT	I Filing Date	October 10, 2006
STATEMENT DE APPLICANT	First Named Inventor	Yee Min LIM
(Use as many sheets as necessary)	Art Unit	3651
	Examiner Name	Not Yet Assigned
Sheet 2 of 2	Attorney Docket Number	03989.0011.PCUS00

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		International Search Report for PCT/SG2004/000326, mailed November 22, 2004.	
		International Preliminary Report on Patentability for PCT/SG2004/000326, completed January 10, 2006.	
	:		
	1		
Examiner Signature		Date Considered	

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